# Flow and Sediment Transport Through Bottom Racks. CFD Application and Verification with Experimental Measurements. 

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#### Abstract

Bottom rack intake systems are designed to get the maximum quantity of water in mountain rivers with important transport of sediments. Some attentions have been given to the occlusion of the racks due to the deposition of debris over them or to the quantity of sediments that gets into the racks and is transported along the derivation channel. Currently, we want to optimize this kind of intake systems to use them in discontinuous and torrential streams with high sediments concentrations. Some experimental studies have found a correlation between the influence of the sediments and the flow derived by the rack (Orth et al., 1954). Krochin (1978) proposes an increment coefficient in the length of the rack for considering the clog problems. Drobir (1981) published some results for different types of sieve curves in mountain rivers. The methodology of Computational Fluid Dynamics (CFD), which is based on numerical solution of the Reynolds Averaged Navier-Stokes (RANS) equations together with turbulence models of different degrees of complexity, simulates the interaction between different fluids, such as the sediment transport and the air-water two-phase flow that appear in the phenomenon of intake systems. This paper compares and discusses the results obtained using a CFD numerical model with the experimental results obtained by Noseda (1956), Drobir (1981) and UPCT Lab.


KEY WORDS: Bottom intake system, Racks, Circular bars, Sediment, CFD.

## 1 INTRODUCTION

In the design of a bottom intake system, it is necessary to consider different aspects. The efficiency of the racks depends on various factors such as the shape of the bars, clear spacing between the bars (void ratio), flow approximation conditions and quantity, the angle of the rack, length, sediment rate, etc.

It is assumed that flux over the rack is one-dimensional, flow decreases progressively, hydrostatic pressure distribution acts over the rack in the flow direction and energy level or energy head can be considered constant along the rack.

Several researchers have studied this problem using hydraulic models. Noseda (1956) studied the clear water flow through different racks. Differences between measured and calculated depth profiles are generally found at the beginning of the rack due to the consideration of hydrostatic pressure distribution, and at the edge of the rack when friction effects are neglected (Brunella et al., 2003).

Righetti and Lanzoni (2008) proposed to calculate the flow derived by the rack with the following differential equation:

$$
\begin{equation*}
d q(x)=C_{q} m \sqrt{2 g\left(H_{0}+\Delta z\right)} d x \tag{1}
\end{equation*}
$$

where $m$ is the void ratio, $d x$ is the differential rack length in the flow direction, $H_{0}$ is the total energy at the beginning of the rack, $\Delta z$ is the vertical distance between the edge of the rack and the analyzed section, and $C_{q}$ is the discharge coefficient. These authors proposed that $C_{q} \approx \sin \alpha$, being $\alpha$ the angle between the velocity vector of water derived and the plane of the rack (see Figure 3).

Noseda (1956) defined a variable discharge coefficient for horizontal rack case and subcritical approximation flow:

$$
\begin{equation*}
C_{q}=0.66 m^{-0.16}\left(\frac{h}{l}\right)^{-0.13} \tag{2}
\end{equation*}
$$

where $l$ is referred to the inter axis (distance between the centerline of two consecutive bars), $m$ is the void ratio and $h$ is the height of water measured in the vertical direction. Once all the parameters are defined, several researchers (Frank, 1956; Bouvard and Kuntzmann, 1954; Noseda, 1956) have proposed the wetted rack length necessary to derive a defined flow rate. The value proposed by Noseda (1956) is obtained with the following equation:

$$
\begin{equation*}
L=1.1848 \cdot \frac{E_{0}}{C_{q} m} \tag{3}
\end{equation*}
$$

where $L$ is the wetted rack length and $E_{0}$ is the specific energy at the beginning of the rack. In this way, Castillo and Lima (2010) analyzed and compared the formulae of wetted rack length obtained by several authors.

## 2 CLEAR WATER SIMULATION

### 2.1 UPCT Physical Model

An intake system has been constructed in the Hydraulic Laboratory at the Universidad Politécnica de Cartagena (Figure 1). The device is similar to Noseda's model. It consists of a 5.00 m long and 0.50 m wide channel, a rack with different slopes, the discharge channel and the channel to collect water discharged. The racks are made of aluminum bars and were located at the bottom of the channel.


Figure 1 Device of intake system of the Hydraulic Laboratory of Universidad Politécnica de Cartagena, Spain

The experiments have been carried out using racks with different void ratios. The racks are built with $T$ profile with the same width, but the longitudinal layout was modified to allow different spacing between them. Table 1 summarizes the geometric characteristics of each experiment that has been carried out.

Table 1 Geometric characteristics of the lab experiments

| Experiment | A | B | C |
| :---: | :---: | :---: | :---: |
| Length, $L(\mathrm{~m})$ | 0.900 | 0.900 | 0.900 |
| Bar type (mm) | T 30/25/2 | T 30/25/2 | T 30/25/2 |
| Direction of the flow | Longitudinal | Longitudinal | Longitudinal |
| Spacing, $b_{1}$ (mm) | 5.70 | 8.50 | 11.70 |
| Void ratio <br> $m=\frac{b_{1}}{b_{1}+30}$ | 0.16 | 0.22 | 0.28 |

In each experiment, the incoming, derived and rejected flows and the longitudinal flow profile have been measured. $q_{1}$ is the incoming specific flow, $q_{2}$ is the specific discharge flow rejected, and $q_{d}$ is the specific discharge flow derived from the intake system. Table 2 shows the entrance specific flow.

Table 2 Entrance specific flow in the intake system

| $\mathrm{N}^{\mathrm{o}}$ experiment | 1 | 2 | 3 | 4 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $q_{1}(\mathrm{l} / \mathrm{s} / \mathrm{m})$ | 53.8 | 77.0 | 114.6 | 155.4 | 198.3 |

### 2.2 Drobir Physical Model

Drobir et al. (1999) measured the wetted rack length (horizontal projection) in a 1:10 scale model. The prototype rack was made with 0.10 m circular cross section bars, a spacing between the bars of 0.15 m , and a slope of $20 \%$. Specific discharge flows from 0.25 to $2.00 \mathrm{~m}^{2} / \mathrm{s}$ were analyzed.

Two different lengths were considered: $L_{1}$ is the horizontal projection of the distance where the surface of the nappe crossed the axis of the rack bar (measured between the bars), while $L_{2}$ is the maximum horizontal distance where the bars are wet (Figure 2).


Figure 2 Scheme of wetted rack lengths $L_{1}$ and $L_{2}$ and shape of the nappe (Drobir, 1999)

In this paper the values measured by Drobir in their model have been compared to the wetted rack lengths calculated according to the methods of Bouvard and Kuntzmann (1954), Frank (1956) and Noseda (1956). All of these methodologies have been applied using the discharge coefficient formulation, $C_{q}$ (equation 2). This is discussed in the epigraph 2.4.2.

### 2.3 Numerical Model

The Computational Fluid Dynamics programs allow us to simulate the interaction between different fluids as a two-phase air-water or flows with different concentrations, like sediment transport. This programs solve the fluid mechanical problems into its geometric configuration, providing lot of data, increased profitability, flexibility and speed compared with experimental procedures. However, to use them correctly, it is necessary to contrast and to calibrate numerical results with data obtained in prototypes and/or physical models.

To test the hydraulic behavior of an intake system simulation, the experimental data measured by Drobir et al. (1999), Noseda (1956) and Castillo et al. (2013) were used.

FLOW-3D uses a difference finite scheme, solving the differential Navier-Stokes equations of the phenomenon in control volumes defined by the meshing of the fluid domain. The continuity and momentum Navier-Stokes equations are applied:

$$
\begin{align*}
\frac{\partial u_{i}}{\partial x_{i}} & =0  \tag{4}\\
u_{j} \frac{\partial u_{i}}{\partial x_{i}} & =-\frac{1}{\rho} \frac{\partial}{\partial x_{i}}\left(P \delta_{i j}+\rho \overline{u_{i} u_{j}}\right) \tag{5}
\end{align*}
$$

being $P$ the dynamic pressure, $\rho$ the flow density, $u_{i}$ the $i$ component of the local time-averaged flow velocity, $\delta$ the Kronecker Delta function and $\rho \overline{u_{i} u_{j}}$ the turbulence stresses.

To complement the numerical solution of Reynolds equations and average Navier-Stokes (RANS), a turbulence model has been used. So, in this study was applied the Renormalization-Group (RNG) k- $\varepsilon$ model (Yakhot and Orszag, 1986; Yakhot and Smith, 1992). This turbulence model applies statistical methods to the derivation of the averaged equations for turbulence quantities. The RNG model is known to describe flows having strong shear regions more accurately than the $\mathrm{k}-\varepsilon$ standard model (FLOW-3D, 2011).

To simulate clean water flow, we selected the one fluid option, join to the air entrainment models. Figure 3 shows the velocity vectors and the angle $\alpha$ between the velocity vector of water derived and the plane of the rack.


Figure 3 Free surface and velocity field of clean water passing through the rack, considering upstream subcritical condition and $q=1.00 \mathrm{~m}^{3} / \mathrm{s} / \mathrm{m}$

The model boundary conditions correspond to the flow at the inlet condition, the upstream and downstream levels and their hydrostatic pressure distributions. Outflow conditions were used at the bottom of the exit channel of water collected due to the fact that at this boundary the hydrostatic pressure condition is not exist.

For simplicity, it was considered that all the longitudinal bars work in the same mode in the intake system (Castillo and Carrillo, 2012). For that reason, a model with six bars and five spacing, with symmetrical conditions in the longitudinal boundaries of the fluid domain has been considered. The mesh was obtained using a regular mesh size of 0.008 m in our lab model and 0.025 m in Drobir model (Figure 4).

## fraction of fluid contours



Figure 4 Detail of the mesh size and the flow passing over a bar for clean water considering Drobir model. Upstream subcritical condition and $q=1.00 \mathrm{~m}^{3} / \mathrm{s} / \mathrm{m}$

### 2.4 Results and Discussion

### 2.4.1 UPCT physical model

In order to know the accuracy of the numerical simulations data, the longitudinal flow profiles over the centre of the bar simulated we compared with the results obtained by Noseda (1956) and UPCT laboratory.

Figure 5 compares the depth of the longitudinal flow profiles (horizontal rack) obtained with the biggest, medium and smallest specific flows, using the three methodologies and considering spacing $b_{1}=11.70 \mathrm{~mm}(m=0.28)$. In general, the water profiles obtained with CDF methodology are similar to the lab measurements. In the central part of the rack, the differences of FLOW-3D are up to $15 \%$ below of Noseda depth when the bigger specific flow is considered.


Figure 5 Flow profiles over the centre of the bar with horizontal rack, $b_{1}=11.70 \mathrm{~mm}$ and $q_{1}=53.8,114.6$ and 198.30 1/s/m

The relation between specific flow, $q_{1}$, and specific flow derived in the intake system, $q_{d}$, for spacing $b_{1}=11.70 \mathrm{~mm}$ has been also compared. Figure 6 shows similar results with lab and Noseda's measurements, except for $q_{1}=198.3 \mathrm{l} / \mathrm{s} / \mathrm{m}$ in which FLOW-3D collected more flow.


Figure 6 Derivation capacity of the intake system, with $b_{1}=11.70 \mathrm{~mm}(m=0.28)$
The comparison of the results obtained with FLOW-3D with the lab results measured by Noseda (1956) and in the laboratory of the Universidad Politécnica of Cartagena show a satisfactory accuracy between them and validates this model for the case of clean water (Castillo and Carrillo, 2012).

### 2.4.2 Drobir physical model

In order to know the accuracy of the numerical simulations data with sloped circular bars, in Figure 7, longitudinal profiles of flow simulated by CFD are presented for the same rates that Drobir measured in his model, considering subcritical flow. From these graphs, the wetted rack lengths $L_{1}$ and $L_{2}$ simulated can be obtained.


Figure 7 Water longitudinal profiles for a $20 \%$ slope rack, $m=0.60$, considering different flow rates
Figure 8 shows the wetted rack lengths $L_{1}$ and $L_{2}$ simulated for different specific flows. In general, a good approximation can appreciate for both lengths. For the bigger flows, the $L_{1}$ length calculated with CFD tends to be bigger than the values of Drobir and the free overfall too. $L_{2}$ has a tendency to be a little smaller than Frank, Bouvard and Kuntzmann, Noseda and Drobir results. One of the causes of the differences can be due to the fact that the FAVOR method used by FLOW-3D to obtain the solid parts in the volume of fluid has difficulties to reproduce the shape of the circular bars, requiring a very fine mesh size. In this way, the smaller $L_{2}$ simulated can be also as a result of the difficulty to reproduce the smaller depths (less than 0.01 m ) over the racks with the mesh size used ( 0.025 m ). However, it is necessary to
taking into account that the use of a smaller mesh size increments the computational effort.


Figure 8 Wetted rack lengths (horizontal projection) for a $20 \%$ slope rack, $m=0.60$, considering flow rates from 0.25 to $2.00 \mathrm{~m}^{3} / \mathrm{s} / \mathrm{m}$

## 3 SEDIMENTS SIMULATIONS

### 3.1 Physical Model

Noseda (1956) did not considered sediments transport. However, some studies were carried out in the Drobir (1981) model. This model has been used to compare the numerical simulations when different concentration sediments are considered.

### 3.2 Numerical Model

In FLOW-3D, the sediments models consider two situations: lifting and particle transport. The first takes place at the interface between the liquid and the solid surfaces and generates the transport of the particles when the effort caused by the flow exceeds a critical value, and consequently, the amount of raised particles of the ground is proportional to the shear stress. The transport component simulates the movement of the solid particles in the fluid, and additionally, the model incorporates a drive module, which is used to simulate the behavior of solids when flowing at high concentrations. The density and viscosity of the fluid are calculated from the concentration of sediments.

### 3.3 Sediments Characteristics

For the sediments simulations, a constant diameter sediment has been used. The characteristic diameter was the defined by Sommer (cited by Drobir, 1981), who considered a sand whose $d_{95}=60 \mathrm{~mm}$. Different volumetric concentrations between 1 and $5 \%$ have been simulated at the beginning of the rack.

### 3.4 Results and Discussion

In each simulation, the wetted lengths $L_{1}$ and $L_{2}$ have been calculated and compared with the values of clear water, when the inlet volumetric concentration of solids is varied. The flow rates simulated correspond to $1.00,1.24$ and $1.36 \mathrm{~m}^{3} / \mathrm{s} / \mathrm{m}$. This specific flows match with the deduced by Hofner (Drobir, 1981) when measured the volume of sediments retained in a sand trap situated at the end of the rack.

In the physical model, Drobir (1981) considered that practically all the solid material enter in the rack due to the space between the bars of the rack, 0.15 m (void ratio, $m=0.60$ ).

Due to the huge spacing between bars in the Drobir model, also it has been analyzed the sediment transport over the rack considering spacing between bars of 0.06 and 0.03 m (void ratios of $m=0.375$ and 0.23 respectively). It has been considered subcritical and supercritical approximation regime at inlet boundary condition.

Figure 9 shows the water longitudinal profiles obtained with clean water and with $5.00 \%$ of sediments concentration at the beginning of the rack, considering a $1.36 \mathrm{~m}^{3} / \mathrm{s} / \mathrm{m}$ specific flow. The sediment transport tends to increment the depth over the bars and in the spacing between bars. Besides this, an increment in $L_{1}$ and $L_{2}$ can be observed.


Figure 9 Water longitudinal profiles with clean water and $5.00 \%$ sediment concentration, for $q=1.36 \mathrm{~m}^{3} / \mathrm{s} / \mathrm{m}$, a $20 \%$ slope rack and $m=0.23$

Figure 10 shows the horizontal wetted rack length considering a spacing between the bars of 0.15 m . When different sediment rates are considered, the wetted rack length simulated increases over the clean water case and those measured by Drobir et al. (1999), reaching increments of around the $20 \%$ respect to the initial $L_{2}$ length.

In the cases of supercritical flow approximation, the wetted lengths simulations with sediments also present increases respect to the simulation with subcritical flow approximation.


Figure 10 Wetted rack lengths (horizontal projection) for a $20 \%$ slope rack, $m=0.60$, considering different flow rates (1.00, 1.24 and $1.36 \mathrm{~m}^{3} / \mathrm{s} / \mathrm{m}$ ) and solid concentrations in volume (1.00, 2.50 and $5.00 \%$ )

Figures 11 and 12 show the results for racks with void ratios of $m=0.375$ and $m=0.23$ respectively. The wetted rack length increases with the volume concentration of sediments, maintaining the results found before. It also appreciates that the wetted lengths, $L_{1}$ and $L_{2}$, calculated with the CFD simulations with this void ratios give lower wetted lengths than those obtained with the methodology of Noseda (1956) (formulation for T-shaped horizontal bars and clean water). This can be a result of considering the shape of the bars (circular) in the CFD simulations, and therefore the increases of the discharge coefficient with the decreases of the void ratio. It is necessary to take into account that the program did not reproduce the clogging due to the sediments passing through the racks.


Figure 11 Wetted rack lengths (horizontal projection) for a $20 \%$ slope rack, $m=0.375$, considering different flow rates ( $1.00,1.24$ and $1.36 \mathrm{~m}^{3} / \mathrm{s} / \mathrm{m}$ ) and solid concentrations in volume ( $1.00,2.50$ and $5.00 \%$ )


Figure 12 Wetted rack lengths (horizontal projection) for a $20 \%$ slope rack, $m=0.23$, considering different flow rates (1.00, 1.24 and $1.36 \mathrm{~m}^{3} / \mathrm{s} / \mathrm{m}$ ) and solid concentrations in volume ( $1.00,2.50$ and $5.00 \%$ )

## 4 CONCLUSIONS

There are different laboratory studies modeling clean water flows over racks with different bar shapes, slopes and spacing. However, there are very few studies looking at the effects of sediment on the rack behavior.

To improve the knowledge of these structures, it is important to do more experimental studies, both in physical models and in prototypes, simultaneously measuring depths, velocity and sediment rates.

In this paper we have tested the accuracy of the numeric results obtained with CFD methodology as a tool to model an intake system, considering clean water. Furthermore, a numerical sediments preliminary study has been carried out.

Simulations do not reproduce the clogging due to the sediments passing over the racks, although the wetted rack length is increased due to the conditions of flow containing sediments.

The type of approximation flow influences on the wetted rack lengths, so it is recommended to consider supercritical and subcritical approximation flows when wetted rack lengths are analyzed.

Lab results will allow us to calibrate and validate the CFD code, not only with clean water, but also with sediments transport.

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